



Client Select Series Account Application

Important Information about opening a new NextGen 529 account:

- Use this form to open an individual or custodial (UTMA/UGMA) account for yourself or a Designated Beneficiary. Please use the NextGen 529 Client Select Series Entity Application to open an account to be owned by an entity.
- This application is for Select Class A, C and I units. Select Class I units can only be purchased through Financial Professionals associated with Financial Intermediaries. Consult the NextGen 529 Client Select Series Program Description for additional details about unit class eligibility.
- There's a \$545,000 maximum contribution limit per Designated Beneficiary.

Important information about completing this form:

- Carefully read the NextGen 529 Client Select Series Program Description and Participation Agreement before completing this form.
- The Financial Intermediary and Financial Professional information in Step 5 must be completed in order to establish an account.
- If you connect a bank account, the Participant/Account Owner or Designated Beneficiary (if applicable) must own the banking account.
- Make sure you use black ink. Type or print clearly in capital letters.

Need help?

Give us a call Monday – Friday
from 8am – 8pm ET
1-833-336-4529
1-833-33NG529

Individuals with speech or
hearing disabilities may dial
711 to access
Telecommunications Relay
Service (TRS) from a
telephone or TTY.

Mail the form to:
NextGen 529
PO Box 534457
Pittsburgh, PA 15253-4457

Overnight Mail:
NextGen 529
Attention: 534457
500 Ross Street, 154-0520
Pittsburgh, PA 15262

Fax:
844-751-0017

1 NextGen 529 Account Type

Individual

UTMA/UGMA under _____ state's rules
State

2 Participant/Account Owner Information

Name (First and Last)

__ __ / __ __ / __ __ __ __

Date of Birth (MM/DD/YYYY)

__ __ __ __ - __ __ __ __ - __ __ __ __

Social Security Number or Taxpayer Identification Number

__ __ __ __ - __ __ __ __ - __ __ __ __

Telephone Number

Are you a US citizen?

Yes

No (Please attach a copy of your Permanent Resident Card. Please do not staple; use a paper clip)

Residential Address

No PO Boxes are accepted for a residential address.

Street Address 1

Street Address 2

City

State

ZIP Code

Request for Duplicate Statements

If you would like a duplicate set of statements to be sent to an interested party, please complete the Client Select Series Interested Party Duplicate Statement Request Form, available online at [NextGenforME.com](https://www.NextGenforME.com).

3 Designated Beneficiary Information

This account is designed to be used for the Designated Beneficiary's Qualified Higher Education Expenses, as defined in the NextGen 529 Client Select Series Program Description and Participation Agreement.

Individual (non-custodial) accounts can change the Designated Beneficiary as long as the new Designated Beneficiary qualifies as a "Member of the Family" as defined in the NextGen 529 Client Select Series Program Description and Participation Agreements.

Is the Participant/Account Owner also the Designated Beneficiary?

- Yes (Please leave the following blank)
- No (Please complete the following with the Designated Beneficiary's information)

Name (First and Last)

___ / ___ / ___
Date of Birth (MM/DD/YYYY)

Social Security Number or Taxpayer Identification Number

Residential Address

- Residential address is the same as the Participant/Account Owner (Leave address information below blank)

Street Address 1

Street Address 2

City

State

ZIP Code

4 Successor Participant Information (Optional)

Complete this section only if you selected individual (non-custodial) account in Step 1.

The Successor Participant must be at least 18 years old and is eligible to become the Participant in the event the original Participant dies or becomes legally incapacitated.

Do you want to add a Successor Participant?

You can always add or change the Successor Participant at any time (on individual accounts).

Yes (Please complete the following with the Successor Participant's information)

No (Please leave the following blank)

Name (First and Last)

___ / ___ / _____
Date of Birth (MM/DD/YYYY)

____ - ____ - _____
Social Security Number or Taxpayer Identification Number

5 Financial Professional Information

Your Financial Professional will have this information available and should help you complete it and review it for accuracy.

Name of Financial Intermediary Firm

Financial Intermediary Number

Branch Number

Street Address 1

Street Address 2

Full Name of Financial Professional

Rep Identification Number

City

State

ZIP Code

Financial Professional's Telephone Number

Financial Intermediary's Contact Telephone Number

Email Address

Financial Professional Authority

- I authorize the NextGen 529 Plan and its agents, Vestwell State Savings, LLC, the Program Manager, and The Bank of New York Mellon, the Program Custodian, to provide my Financial Intermediary with complete access to my account information and the ability to execute transactions (investment of contributions, withdrawals, exchanges among investment options) in my account on my behalf by telephone, mail, and through the Program's secure website. I understand and acknowledge that such transaction authority is not limited to my Financial Professional but extends to anyone authorized by my Financial Intermediary firm to execute such transactions on my behalf. I understand that certain changes to my account information (e.g., name of account owner, name of Designated Beneficiary, bank account information) will still require my signature on the applicable form. I understand and agree that the NextGen 529 Plan, the State of Maine, FAME, the Program Manager, the Program Custodian, BlackRock Inc. and their respective agents, employees and affiliates will not have any liability for any losses I may incur as a result of the acts or omissions of my Financial Intermediary or Financial Professional.

If a Participant grants such authorization, none of FAME, the State of Maine, the Program Manager, the Program Custodian, the Select Series Distributor, the Investment Manager, American Century Investment Management, Inc., Franklin Templeton Investments, Lord Abbett & Co. LLC, Massachusetts Financial Services Company, Neuberger Berman Investment Advisers LLC or New York Life Investment Management LLC or any other organization will be liable for any consequences related to your Financial Intermediary's acts or omissions pursuant to the authorization.

I may revoke my Financial Intermediary firm's authority to transact business in my account by calling 1-833-336-4529, or by completing the Client Select Series Change Account Information Form.

Continued on next page.

Continued from Page 5.

Class I Units Eligibility (Complete to purchase)

Class I units are available to investors purchasing through Financial Professionals associated with certain Financial Intermediaries. This unit class is not available to all investors; this section is required to confirm that the Participant/Account Owner is eligible to purchase Class I units. In order to invest in Class I units, the Financial Intermediary must indicate in what capacity the investor is eligible to purchase these units by checking the applicable category below:

- The Financial Intermediary charges the Participant/Account Owner outside of NextGen 529 for advisory, investment consulting, or similar services.
- The Financial Intermediary has entered into an agreement with the distributor of units of the Client Select Series to offer Class I units on a platform that charges a transaction-based sales commission outside of NextGen 529.

I (the Financial Professional associated with the above Financial Intermediary) have complied with my firm's policies and procedures regarding opening this account.

Signature of Financial Professional

Principal Approval (if required)

__ __ / __ __ / __ __ __ __
Date (MM/DD/YYYY)

6 Communication Preferences

Mailing Address

PO boxes are accepted for a mailing address.

- Use the Participant/Account Owner's address of record as the mailing address (Leave address information below blank)

Street Address 1

Street Address 2

City

State Zip Code

Choose how you want to receive statements and tax forms for all the accounts you manage.
(Please select one)

- Send digital tax forms, account information and quarterly statements by email.
(Please answer A below)
- Send digital quarterly statements and account information by email but send tax forms by U.S. Mail.
(Please answer A below)
- Send quarterly statements, account information and tax forms by U.S. Mail.
- A** What email address should we use?
(Answer if you've chosen to receive items by email)

Email

7 Portfolio Information

Enter in Step 8 the percentage of future contributions to be allocated to each Portfolio as whole numbers.

Unit Class Disclosure: Unless you are eligible for and have chosen I units, your NextGen 529 account will be automatically invested in A or C units (each unit class has different fees and expenses). If the assets across all of your NextGen 529 accounts are less than \$250,000, your contributions will be invested in C units that will automatically exchange to A units, without a sales charge, after 4 years from the date of initial purchase. When your total NextGen 529 assets reach or exceed \$250,000, contributions to your account will be automatically invested in A units without a sales charge. (You must provide evidence of the value of any NextGen 529 accounts not serviced by Vestwell for such values to be included in determining unit class eligibility.) Class I units are only available to certain investors. Step 5 of this form must be completed by your Financial Professional to confirm I unit class eligibility.

YEAR OF ENROLLMENT DIVERSIFIED PORTFOLIOS

Please refer to the Program Description for details about Portfolios, Allocations, and Investments.

Portfolio Name	Fund Number		
	Class A	Class C	Class I
BlackRock 2041 Enrollment Portfolio	511	512	922
BlackRock 2038 Enrollment Portfolio	784	801	923
BlackRock 2035 Enrollment Portfolio	767	774	924
BlackRock 2033 Enrollment Portfolio	527	528	925
BlackRock 2029 Enrollment Portfolio	514	515	926
BlackRock 2027 Enrollment Portfolio	517	518	927
BlackRock 2026 Enrollment Portfolio	785	802	928
BlackRock 2025 Enrollment Portfolio	520	521	929
BlackRock 2024 Enrollment Portfolio	786	803	930
BlackRock Enrolled Portfolio	522	523	931
iShares 2041 Enrollment Portfolio	732	733	900
iShares 2038 Enrollment Portfolio	787	804	901
iShares 2035 Enrollment Portfolio	770	775	902
iShares 2033 Enrollment Portfolio	734	735	903
iShares 2029 Enrollment Portfolio	736	737	904
iShares 2027 Enrollment Portfolio	738	739	905
iShares 2026 Enrollment Portfolio	788	805	906
iShares 2025 Enrollment Portfolio	740	741	907
iShares 2024 Enrollment Portfolio	789	806	908
iShares Enrolled Portfolio	742	743	909

YEAR OF ENROLLMENT DIVERSIFIED PORTFOLIOS (CONTINUED)

Portfolio Name	Fund Number		
	Class A	Class C	Class I
Franklin Templeton 2041 Enrollment Portfolio*	979	980	981
Franklin Templeton 2038 Enrollment Portfolio*	982	983	984
Franklin Templeton 2036 Enrollment Portfolio	556	557	939
Franklin Templeton 2034 Enrollment Portfolio	794	811	940
Franklin Templeton 2032 Enrollment Portfolio	573	574	941
Franklin Templeton 2030 Enrollment Portfolio	768	776	942
Franklin Templeton 2028 Enrollment Portfolio	580	581	943
Franklin Templeton 2027 Enrollment Portfolio	795	812	944
Franklin Templeton 2026 Enrollment Portfolio	769	777	945
Franklin Templeton 2025 Enrollment Portfolio	583	584	946
Franklin Templeton 2024 Enrollment Portfolio	796	813	947
Franklin Templeton Enrolled Portfolio	576	577	949
MFS 2041 Enrollment Portfolio	600	601	957
MFS 2038 Enrollment Portfolio	797	814	958
MFS 2035 Enrollment Portfolio	615	616	959
MFS 2033 Enrollment Portfolio	798	815	960
MFS 2031 Enrollment Portfolio	603	604	961
MFS 2029 Enrollment Portfolio	799	816	962
MFS 2027 Enrollment Portfolio	606	607	963
MFS 2025 Enrollment Portfolio	773	780	964
MFS 2024 Enrollment Portfolio	772	779	965
MFS Enrolled Portfolio	612	613	966

*Portfolio available for investment on November 1, 2023.

DIVERSIFIED PORTFOLIOS

Please refer to the Program Description for details about Portfolios, Allocations, and Investments.

Portfolio Name	Fund Number		
	Class A	Class C	Class I
BlackRock Fixed Income Portfolio	540	541	934
BlackRock 100% Equity Portfolio	537	538	932
BlackRock 75% Equity Portfolio	505	506	933
Franklin Templeton Balanced Portfolio	564	565	952
Franklin Templeton Growth and Income Portfolio	567	568	951
Franklin Templeton Growth Portfolio	569	570	950
iShares Diversified Equity Portfolio	752	753	910
iShares Diversified Fixed Income Portfolio	750	751	911
MFS Conservative Mixed Asset Portfolio	762	763	972
MFS Equity Portfolio	618	620	967
MFS Fixed Income Portfolio	624	625	968

SINGLE FUND PORTFOLIOS

Please refer to the Program Description for details about Portfolios, Allocations, and Investments.

Portfolio Name	Fund Number		
	Class A	Class C	Class I
American Century Inflation-Adjusted Bond Portfolio	765	764	973
BlackRock Advantage Large Cap Core Portfolio	548	549	936
BlackRock Advantage Large Cap Growth Portfolio	546	547	937
BlackRock Equity Dividend Portfolio	730	731	935
BlackRock Global Allocation Portfolio	544	545	938
Franklin Templeton International Aggregate Bond ETF Portfolio	586	587	953
Franklin Templeton Clearbridge Capital Appreciation Portfolio	550	551	954
Franklin Templeton Small Cap Value Portfolio	552	553	955
Franklin Templeton Clearbridge Small Cap Growth Portfolio	554	555	956

SINGLE FUND PORTFOLIOS (CONTINUED)

Portfolio Name	Fund Number		
	Class A	Class C	Class I
iShares Core Conservative Allocation Portfolio	744	745	912
iShares Core Growth Allocation Portfolio	748	749	913
iShares Core Moderate Allocation Portfolio	746	747	914
iShares Core MSCI EAFE Portfolio	791	808	915
iShares Core MSCI EM Portfolio	792	809	916
iShares TIPS Bond Portfolio	793	810	918
iShares MSCI USA ESG Select Portfolio	790	807	917
iShares ESG Aware MSCI EAFE Portfolio	831	834	919
iShares ESG Aware MSCI EM Portfolio	832	835	920
iShares ESG Aware U.S. Aggregate Bond Portfolio	833	836	921
Lord Abbett Total Return Portfolio	759	760	975
MainStay Winslow Large Cap Growth Portfolio	695	696	974
MFS Global Equity Portfolio	800	817	969
MFS Research International Portfolio	627	628	971
MFS Value Portfolio	629	630	970
Neuberger Berman International Equity Portfolio	757	758	976

STABLE PRINCIPAL

Please refer to the Program Description for details about Portfolios, Allocations, and Investments.

Portfolio Name	Fund Number		
	Class A	Class C	Class I
Principal Plus Portfolio	677	678	977
NextGen Savings Portfolio*	642	643	978



Client Select Series Account Application

*NextGen Savings Portfolio: Capitalized terms used in this paragraph are defined in the NextGen 529 Client Select Series Program Description. The portion of the underlying deposits in the Bank Deposit Account that is attributable to the Units held by a Participant in the NextGen Savings Portfolio is (a) eligible for FDIC insurance coverage of up to \$250,000 per Participant (calculated on a basis which aggregates that portion of the underlying deposits attributable to the Units held by the Participant in the NextGen Savings Portfolio with all FDIC-insured assets held by the Participant at the Bank) and (b) for purposes of FDIC insurance coverage only, considered to be held in the same ownership capacity as a Participant's other single ownership accounts held at the Bank. However, Units of the NextGen Savings Portfolio are not insured or guaranteed by the FDIC or any other agency of state or federal government, FAME, the Bank or the Program Manager, nor does a Participant have a direct beneficial interest or the rights of an owner in the underlying deposits in the Bank Deposit Account. Participants are responsible for monitoring the aggregated value of the portion of the underlying deposits of the NextGen Savings Portfolio attributable to the Units of such Portfolio held by a Participant plus their other deposits held directly with the Bank, for purposes of the \$250,000 FDIC insurance coverage limit. Deposits held in different ownership capacities, as provided in the FDIC rules, are insured separately. UGMA/UTMA Accounts are generally treated as assets of the Designated Beneficiary, and other types of trust Accounts may be treated as assets of the trustee, for purposes of the FDIC limit. Custodians of UGMA/UTMA Accounts and trustees of trust Accounts should consider how these assets will be treated for purposes of the FDIC limit. For more information, please visit www.fdic.gov.

8 A. Contribution Information: Portfolio Selection
 See Step 7 – beginning on page 7 – for a listing of Funds, Unit Classes, and corresponding Fund Numbers to be entered here. Total percentages must be in whole numbers and equal 100%.

____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage
____ _	_____	_____
Fund Number	Fund Name	Percentage

Total percentage invested in Investment Option(s): _____ %

8 B. Contribution Information: Amount and Source

There's a \$545,000 maximum contribution limit per Designated Beneficiary. You can connect a bank account (Step 9), or include a check made payable to "NextGen 529 FBO [Name of Designated Beneficiary]."

How do you want to fund this account?

- Initial contribution only (You must make an initial minimum contribution of at least \$25.)
- Initial contribution and recurring contributions (You can fund your account through the Automated Funding Service (AFS), or direct deposit through payroll deductions if permitted by your employer.)
- Recurring contributions only (You can fund your account through the Automated Funding Service (AFS), or direct deposit through payroll deductions if permitted by your employer.)

\$ _____ , _____ . _____

Initial contribution amount (If applicable)

How are you making this contribution?

- Check (Please include a check made payable to "NextGen 529 FBO [Name of Designated Beneficiary]," do not staple, use a paper clip for the check and attach to this form.)
- ACH deposit (Please complete your portfolio selection, then fill out Step 9)
- Payroll deductions (To set up direct deposit through payroll deduction contributions into your NextGen 529 account, please complete the Client Select Series Payroll Deduction Form and send it in with your Application Form. You can find forms at [NextGenforME.com](https://www.NextGenforME.com))
- Rollover from another Section 529 Plan, Coverdell ESA, U.S. Savings Bonds, or from a UTMA/UGMA Account. (Please fill out and include the Client Select Series Incoming Rollover/Direct Transfer Form. You can find forms at [NextGenforME.com](https://www.NextGenforME.com))
- Transfer from existing NextGen 529 Direct Series Account. (Please fill out and include the NextGen 529 Series Change Form. You can find forms at [NextGenforME.com](https://www.NextGenforME.com)).

8 C. Contribution Information: Set Up Recurring Contributions (Optional)

This will authorize us to initiate recurring ACH debits (direct withdrawals) from your bank account (from Step 9) on the schedule you indicated for the amount you set. You may cancel or change these recurring ACH debits (direct withdrawals) at any time online or by completing a Client Select Series Automated Funding Service Form; however, we must receive your request at least 3 business days before you want it to become effective. We will continue to process transactions scheduled to occur before the end of the 3rd business day after you tell us to stop.

Would you like to make recurring contributions?

Yes (Please complete the following)

No (Please leave the following blank)

How often would you like to make a contribution?

Monthly Quarterly

Semiannually

Annually

\$ _____ , _____ . _____
Initial Contribution Amount (If applicable)

Day of the Month (If you don't pick a date, we'll automatically do it on the 1st of every month*)

___ / ___ / _____
Date to Begin Contribution (MM/DD/YYYY)

*A note on when contributions will be deducted from your bank account: If the Contribution Day you've selected falls on a regular business day, your contribution will be deducted from your bank account two business days prior to the Contribution Day. If the Contribution Day you've selected falls on a weekend or a holiday, the contribution will be deducted from your bank account on the next Business Day.

9 Bank Account Information

If you choose to make deposits or withdrawals with an ACH bank transfer, attach a voided check or copy of a bank statement (showing the name, address, last 4 digits of the bank account number) and complete the bank information below. Please do not staple, use a paper clip for the check or bank statement, and attach to this form.

What type of documentation are you including to verify this bank account?

Voided Check Bank Statement

Name on Bank Account (Required)

The Participant or Designated Beneficiary must own the bank account connected to the NextGen 529 account.

Bank Account Holder Signature

(If different from NextGen 529 Participant/Account Owner)

Bank Account Type

Checking Savings



Bank Name (Required)

Bank Routing Number

Bank Account Number

Need help?

You can find your bank information on the bottom of one of your checks here:

 0000000000	 000000000000 1000
Routing Number	Account Number

10 Sign the Form

By signing below, I am agreeing to the terms and conditions set forth in this Application and in the NextGen 529 Client Select Series Program Description and Participation Agreement. I understand and agree that those documents govern all aspects of this Account and are incorporated herein by reference.

I have received, read, and understand the NextGen 529 Client Select Series Program Description and Participation Agreement.

I will retain a copy of the NextGen 529 Client Select Series Program Description and Participation Agreement for my records. I understand that the NextGen 529 may, from time to time, amend the NextGen 529 Client Select Series Program Description and Participation Agreement, and I understand and agree that I will be subject to the terms of those amendments.

I certify that all of the information provided by me on this Client Select Series Account Application is, and all information provided by me in the future will be, true, complete and correct and I authorize NextGen 529 to open this Account based upon this information.

I understand that NextGen 529 will send all notices and communications relating to my Account in accordance with the communication preferences identified in Step 6. I will notify NextGen 529 of changes to my mailing address or email address and if my communication preferences change.

I understand that at any time the value of any Account(s) to which I make contributions may be more or less than the amounts I contributed to such Account(s).

If I have enclosed a Client Select Series Incoming Rollover Form and check for a rollover, I also certify that this amount was withdrawn from another qualified tuition program, a Coverdell Education Savings Account, an UTMA/UGMA account, or a qualified U.S. Savings Bond within the last 60 days and that I have not previously made a rollover for the same Designated Beneficiary from one qualified tuition program to another within the last 12 months. The entire rollover amount will be treated as earnings, and will be reported as earnings upon withdrawal, unless NextGen 529 receives a statement, including breakdown of the earnings and contributions, from the liquidating source.

I am aware that by providing banking information, I also authorize NextGen 529 to automatically provide certain capabilities in connection with my Account(s). This includes the ability to authorize withdrawals from my Accounts via telephone or through NextGenforME.com provided my banking information has been on file for a minimum of 30 days. If I wish to remove these capabilities from my Account(s), I must remove my banking information.

Continued on the following page.

10 Sign the Form (Continued)

If NextGen 529 is not your home state 529 plan, you should be aware of the following:

- Depending upon the laws of your home state or your Beneficiary’s home state, favorable state tax treatment or other state benefits such as financial aid, scholarship funds, and protection from creditors may only be available for investments in such state’s Section 529 plan.
- Any state-based benefits offered with respect to a particular Section 529 college savings plan should be one of many appropriately weighted factors to be considered in making an investment decision.
- You should consult with your tax or other financial professionals to learn more about how state-based benefits (including any limitations) would apply to your specific circumstances and you also may wish to contact your home state or any other Section 529 plan to learn more about the features, benefits and limitations of that state’s Section 529 plan.

Any information presented by FAME about tax considerations affecting your financial transactions or arrangements is not intended as tax advice and cannot be relied upon for the purpose of avoiding any tax penalties. FAME does not provide tax, accounting or legal advice. You should review any planned financial transactions or arrangements that may have tax, accounting or legal implications with your personal financial professional.

Signature of Participant / Account Owner

___ / ___ / ____
Date (MM/DD/YYYY)

Vestwell State Savings, LLC (“Vestwell”) is the program manager, The Bank of New York Mellon is the program custodian, BlackRock Advisors, LLC is the program investment manager, and BlackRock Investments, LLC, Member FINRA, is the program distributor and underwriter.

Investment products are not FDIC insured, are not bank guaranteed, and may lose value.



Program Administrator
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